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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number 09/760,897	Patent#: 7,033,782
	Filing Date	Issued: April 25, 2006
	First Named Inventor	John Joseph HARRINGTON
	Art Unit	1632
	Examiner Name	R. R. Shukla
Total Number of Pages in This Submission	Attorney Docket Number	ATX-007CP4DV15CNRCE

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Notification of Erroneous Assertion of Small Entity Status and Return Receipt Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	LAHIVE & COCKFIELD, LLP		
Signature			
Printed name	Cynthia L. Kanik, Ph.D.		
Date	May 14, 2007	Reg. No.	37,320

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
Dated: May 14, 2007	Signature: (Cynthia L. Kanik, Ph.D.)



Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). FEE TRANSMITTAL For FY 2007		Complete if Known	
		Application Number	Patent#: 7,033,782
		Filing Date	Issued: April 25, 2006
		First Named Inventor	John Joseph HARRINGTON
		Examiner Name	R. R. Shukla
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	Art Unit	1632	
TOTAL AMOUNT OF PAYMENT	(\$) 700.00	Attorney Docket No.	ATX-007CP4DV15CNRCE

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 12-0080 Deposit Account Name: Lahive & Cockfield, LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☐ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

_____ - 20 = _____ x _____ = _____

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

_____ - 3 = _____ x _____ = _____

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____	_____	_____ / 50 _____ (round up to a whole number) x _____ = _____		

4. OTHER FEE(S)

	Fees Paid (\$)
Non-English Specification, \$130 fee (no small entity discount)	
Other (e.g., late filing surcharge): 1501 Utility issue fee	700.00

SUBMITTED BY			
Signature		Registration No. (Attorney/Agent)	37,320
Name (Print/Type)	Cynthia L. Kanik, Ph.D.	Telephone	(617) 227-7400
		Date	May 14, 2007

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: May 14, 2007 Signature: (Cynthia L. Kanik, Ph.D.)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: John Joseph Harrington

Patent No.: 7033782

Issued: April 25, 2006

For: Compositions and Methods for Non-Targeted
Activation of Endogenous Genes

Attorney Docket No.: ATX-007CP4DV15CNRCE

Group Art Unit: 1632

Examiner: Ram R. Shukla

Confirmation No. 3522

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date set forth below.

May 14, 2007

By: 

Date of Signature and of Mail Deposit

NOTIFICATION OF ERRONEOUS ASSERTION OF SMALL ENTITY STATUS

Dear Sir:

At the time of the payment of the Issue Fee in the above-identified U.S. patent, the Issue Fee was erroneously paid as small entity. Applicants hereby submit that this inadvertent error occurred without any fraudulent intent. Accordingly, pursuant to 37 C.F.R. 1.28(c), Applicants hereby authorize that Deposit Order Account No. 12-0080 be charged in the amount \$700.00 which represents the difference between the previously paid issue fee (*i.e.*, \$700.00) and the issue fee at the current time for a patent issuing in the name of a large entity (*i.e.*, \$1,400.00). Applicants believe that no additional fee is due at this time, however, should any additional fee be due, the Director is hereby authorized to charge such fee to our Deposit Order Account No. 12-0080 under Reference No. ATX-007CP4DV15CNCE.

Patent No.: 7033782

It is respectfully requested that the above-identified patent application be deemed a large entity for the purposes of all future fee payments.

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

A handwritten signature in black ink, appearing to read 'Cynthia L. Kanik', is written over a horizontal line.

Cynthia L. Kanik

Registration No. 37,320

Attorney for Applicants

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Dated: May 14, 2007